Which alternating dimaps are binary functions?

Graham Farr

Faculty of IT, Clayton campus
Monash University
Graham Farr@monash.edu

Work done partly at: Isaac Newton Institute for Mathematical Sciences (Combinatorics and Statistical Mechanics Programme), Cambridge, 2008; University of Melbourne (sabbatical), 2011; and Queen Mary, University of London, 2011.

23 June 2014

Incidence matrix of graph G:

vertices

0/1 entries

edges

Incidence matrix of graph G:

edges

vertices $0/1 \text{ entries } \cdots$

Cutset space := rowspace of incidence matrix over GF(2). Indicator function of cutset space:

$$f: 2^E \to \{0,1\}$$
, defined by:

$$f(X) = \begin{cases} 1, & \text{if} \\ 0, & \text{otherwise.} \end{cases}$$

X is in cutset space;

Incidence matrix of graph G:

vertices

 $\begin{array}{c} \vdots \\ \cdots \\ 0/1 \text{ entries } \cdots \\ \vdots \end{array}$

edges

Cutset space := rowspace of incidence matrix over GF(2). Indicator function of cutset space:

$$f: 2^E \rightarrow \{0,1\}$$
, defined by:

$$f(X) = \begin{cases} 1, & \text{if characteristic vector of } X \text{ is in cutset space;} \\ 0, & \text{otherwise.} \end{cases}$$

Incidence matrix of graph G:

Indicator function of cutset space:

$$f: 2^E \to \{0,1\}$$
, defined by:

$$f(X) = \begin{cases} 1, & \text{if characteristic vector of } X \text{ is in cutset space;} \\ 0, & \text{otherwise.} \end{cases}$$

Often think of this as a *vector*, \mathbf{f} , length $2^{|E|}$, entries indexed by subsets of E (or their characteristic vectors).

Binary functions

Indicator functions of cutset spaces are prototypical *binary functions*.

Let E be a finite set (the ground set).

A binary function is a function $f: 2^E \to \mathbb{C}$ such that $f(\emptyset) = 1$.

In terms of vectors: it's a $2^{|E|}$ -element column vector \mathbf{f} , with entries indexed by subsets of E (or their characteristic vectors), such that $f_\emptyset=1$.

Back to graphs ...

Contraction and Deletion

G

$$u$$
 e v

Minors

H is a **minor** of G if it can be obtained from G by some sequence of deletions and/or contractions.

The order doesn't matter. Deletion and contraction **commute**:

$$G/e/f = G/f/e$$

 $G \setminus e \setminus f = G \setminus f \setminus e$
 $G/e \setminus f = G \setminus f/e$

Minors

H is a **minor** of G if it can be obtained from G by some sequence of deletions and/or contractions.

The order doesn't matter. Deletion and contraction **commute**:

$$G/e/f = G/f/e$$

 $G \setminus e \setminus f = G \setminus f \setminus e$
 $G/e \setminus f = G \setminus f/e$

Importance of minors:

- excluded minor characterisations
 - planar graphs (Kuratowski, 1930; Wagner, 1937)
 - graphs, among matroids (Tutte, PhD thesis, 1948)
 - ▶ Robertson-Seymour Theorem (1985–2004)
- counting
 - Tutte-Whitney polynomial family

Classical duality for embedded graphs:

$$G \longleftrightarrow G^*$$
 vertices \longleftrightarrow faces

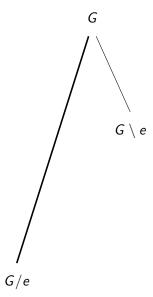
Classical duality for embedded graphs:

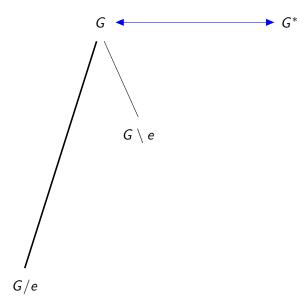
$$G \longleftrightarrow G^*$$
vertices \longleftrightarrow faces

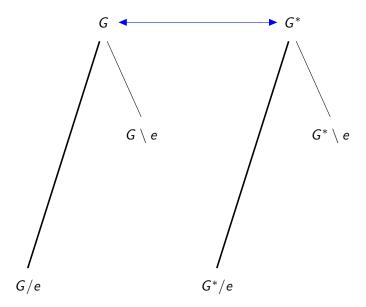
contraction \longleftrightarrow deletion

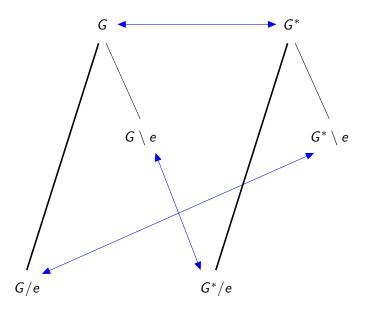
$$(G/e)^* = G^* \setminus e$$

 $(G \setminus e)^* = G^*/e$







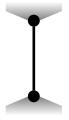


Loops and coloops

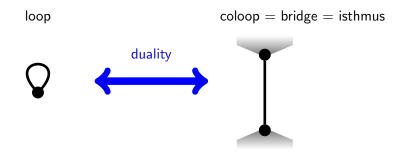
loop



coloop = bridge = isthmus



Loops and coloops



Contraction and deletion in terms of f

Indicator function of cutset space of G:

$$f: 2^E \to \{0, 1\}$$

For contraction and deletion of some $e \in E$: Indicator functions of cutset spaces of . . .

$$G/e$$

$$f/\!\!/ e: 2^{E\setminus\{e\}} \to \{0,1\}$$

$$f/\!\!/ e: 2^{E\setminus\{e\}} \to \{0,1\}$$

$$f/\!\!/ e(X) = \frac{f(X)}{f(\emptyset)}$$

$$f/\!\!/ e(X) = \frac{f(X) + f(X \cup \{e\})}{f(\emptyset) + f(\{e\})}$$

(GF, 2004) For $e \in E$, $X \subseteq E \setminus \{e\}$:

Contraction

 $(f/\!\!/e)(X)$

 $\frac{f(X)}{f(\emptyset)}$

Deletion

 $(f \ \ \ \)(X)$

 $\frac{f(X) + f(X \cup \{e\})}{f(\emptyset) + f(\{e\})}$

(GF, 2004) For $e \in E$, $X \subseteq E \setminus \{e\}$:

Contraction	λ -minor	Deletion
$(f/\!\!/e)(X)$	$(f \parallel_{\lambda} e)(X)$	$(f \ \ \ \)(X)$
$\frac{f(X)}{f(\emptyset)}$	$\frac{f(X) + \lambda f(X \cup \{e\})}{f(\emptyset) + \lambda f(\{e\})}$	$\frac{f(X) + f(X \cup \{e\})}{f(\emptyset) + f(\{e\})}$

(GF, 2004) For $e \in E$, $X \subseteq E \setminus \{e\}$:

For $e \in E$, $X \subseteq I$	⊑ \ { <i>e</i> }:	
$ \begin{array}{c} {\sf Contraction} \\ (\lambda = 0) \end{array} $	λ -minor	$Deletion \\ (\lambda = 1)$
$(f/\!\!/e)(X)$	$(f \parallel_{\lambda} e)(X)$	$(f \setminus e)(X)$
$\frac{f(X)}{f(\emptyset)}$	$\frac{f(X) + \lambda f(X \cup \{e\})}{f(\emptyset) + \lambda f(\{e\})}$	$\frac{f(X) + f(X \cup \{e\})}{f(\emptyset) + f(\{e\})}$

(GF, 2004) For $e \in E$, $X \subseteq E \setminus \{e\}$:

Contraction
$$(\lambda = 0)$$
 λ -minorDeletion
 $(\lambda = 1)$ $(f/\!\!/e)(X)$ $(f/\!\!/e)(X)$ $(f/\!\!/e)(X)$
$$\frac{f(X)}{f(\emptyset)}$$
$$\frac{f(X) + \lambda f(X \cup \{e\})}{f(\emptyset) + \lambda f(\{e\})}$$
$$\frac{f(X) + f(X \cup \{e\})}{f(\emptyset) + f(\{e\})}$$



Duality between contraction and deletion can be extended (GF, 2004).

Duality between contraction and deletion can be extended (GF, 2004).

Define

$$\lambda^* := \frac{1-\lambda}{1+\lambda}$$

Duality between contraction and deletion can be extended (GF, 2004).

Define

$$\lambda^* := \frac{1-\lambda}{1+\lambda}$$

Then

$$\widehat{f \parallel_{\lambda} e} = \widehat{f} \parallel_{\lambda^*} e$$

(For binary functions, duality = Hadamard transform (GF, 1993).)

Duality between contraction and deletion can be extended (GF, 2004).

Define

$$\lambda^* := \frac{1-\lambda}{1+\lambda}$$

Then

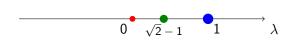
$$\widehat{f \parallel_{\lambda} e} = \widehat{f} \parallel_{\lambda^*} e$$

(For binary functions, duality = Hadamard transform (GF, 1993).)

Fixed points:

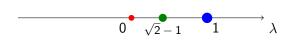
$$\lambda = \pm \sqrt{2} - 1$$





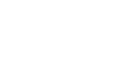
$$\lambda^* = \frac{1-\lambda}{1+\lambda}$$

Duality:



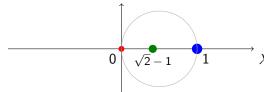
$$\lambda^* = \frac{1-\lambda}{1+\lambda}$$





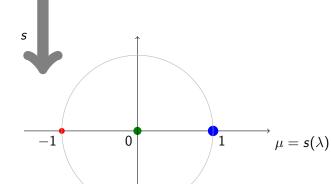
$$1 \longrightarrow \mu = s(\lambda)$$

$$\iota^* = -\mu$$









$$\mu = s(\lambda) := -(3+2\sqrt{2})\frac{\sqrt{2}-1-\lambda}{\sqrt{2}+1+\lambda}$$

$$\lambda = s^{-1}(\mu) := \frac{1+\mu}{\sqrt{2}+1-(\sqrt{2}-1)\mu}$$

Notation:

$$f \|_{[\mu]} e := f \|_{s^{-1}(\mu)} e$$

The transform $L^{[\mu]}$

$$(L^{[\mu]}f)(V) = (2\sqrt{2})^{-|E|} \times \sum_{X \subseteq E} (\sqrt{2} - 1 + (\sqrt{2} + 1)\mu)^{|X \cap V|} \cdot (1 - \mu)^{|X \setminus V| + |V \setminus X|} \cdot (\sqrt{2} + 1 + (\sqrt{2} - 1)\mu)^{|E \setminus (X \cup V)|} f(X)$$

Matrix representation:

$$M(\mu) = \frac{1}{2\sqrt{2}} \begin{pmatrix} \sqrt{2+1} + (\sqrt{2}-1)\mu & 1-\mu \\ 1-\mu & \sqrt{2}-1 + (\sqrt{2}+1)\mu \end{pmatrix},$$
 $L^{[\mu]}\mathbf{f} = M(\mu)^{\otimes m}\mathbf{f}$ (uses *m*-th Kronecker power)

Special cases:

$$\mu=1: \qquad \text{identity transform} \\ \mu=-1: \qquad \sqrt{2}^{|E|}\times \quad \text{Hadamard transform} \qquad \text{(duality)} \\ \mu=\omega:=e^{i\,2\pi/3}: \qquad \text{some kind of "triality"}$$

Properties of the transforms

Composition of transforms \longleftrightarrow multiplication of their parameters:

$$L^{[\mu_1]}L^{[\mu_2]} = L^{[\mu_1\mu_2]}$$

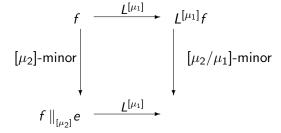
Also have generalisations of Plancherel's and Parseval's theorems.

$[\mu]$ -minors

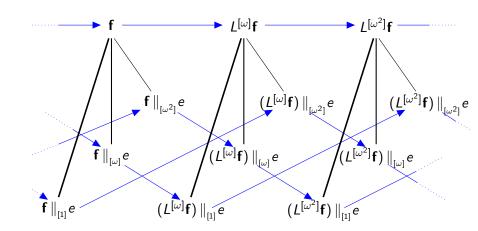
Theorem

$$(L^{[\mu_1]}f) \parallel_{[\mu_2/\mu_1]} e = \textit{ScalingFactor}(f, \mu_1, \mu_2) \cdot L^{[\mu_1]}(f \parallel_{[\mu_2]} e)$$

Up to constant factors:



$[\omega]$ -minors



Alternating dimaps

Alternating dimap (Tutte, 1948):

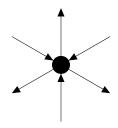
- directed graph without isolated vertices,
- ▶ 2-cell embedded in a disjoint union of orientable 2-manifolds,
- each vertex has even degree,
- $\forall v$: edges incident with v are directed alternately into, and out of, v (as you go around v).

Alternating dimaps

Alternating dimap (Tutte, 1948):

- directed graph without isolated vertices,
- ▶ 2-cell embedded in a disjoint union of orientable 2-manifolds,
- each vertex has even degree,
- ▶ $\forall v$: edges incident with v are directed alternately into, and out of, v (as you go around v).

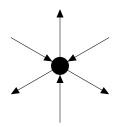
So vertices look like this:



Alternating dimap (Tutte, 1948):

- directed graph without isolated vertices,
- 2-cell embedded in a disjoint union of orientable 2-manifolds,
- each vertex has even degree,
- $\forall v$: edges incident with v are directed alternately into, and out of, v (as you go around v).

So vertices look like this:



Genus $\gamma(G)$ of an alternating dimap G:

$$V - E + F = 2(k(G) - \gamma(G))$$

Three special partitions of E(G):

- clockwise faces
- anticlockwise faces
- in-stars

(An *in-star* is the set of all edges going into some vertex.)

Three special partitions of E(G):

- clockwise faces
- anticlockwise faces
- in-stars

(An *in-star* is the set of all edges going into some vertex.)

Each defines a permutation of E(G).

Three special partitions of E(G):

```
• clockwise faces \sigma_c
• anticlockwise faces \sigma_a
• in-stars \sigma_i

An in-star is the set of all edges going into some ver
```

(An *in-star* is the set of all edges going into some vertex.)

Each defines a permutation of E(G).

Three special partitions of E(G):

 σ_i in-stars σ_i

(An *in-star* is the set of all edges going into some vertex.) Each defines a permutation of E(G). These permutations satisfy

$$\sigma_i \sigma_c \sigma_a = 1$$

Construction of trial map:

clockwise faces \longrightarrow vertices \longrightarrow anticlockwise faces \longrightarrow clockwise faces

Construction of trial map:

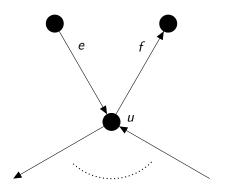
 $\mathsf{clockwise} \ \mathsf{faces} \longrightarrow \mathsf{vertices} \longrightarrow \mathsf{anticlockwise} \ \mathsf{faces} \longrightarrow \mathsf{clockwise} \ \mathsf{faces}$

$$(\sigma_i, \sigma_c, \sigma_a) \mapsto (\sigma_c, \sigma_a, \sigma_i)$$

Construction of trial map:

clockwise faces \longrightarrow vertices \longrightarrow anticlockwise faces \longrightarrow clockwise faces

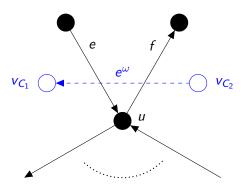
$$\left(\sigma_{\textit{i}}, \sigma_{\textit{c}}, \sigma_{\textit{a}}\right) \ \mapsto \ \left(\sigma_{\textit{c}}, \sigma_{\textit{a}}, \sigma_{\textit{i}}\right)$$

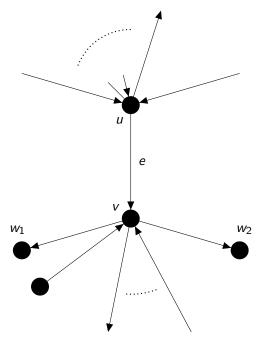


Construction of trial map:

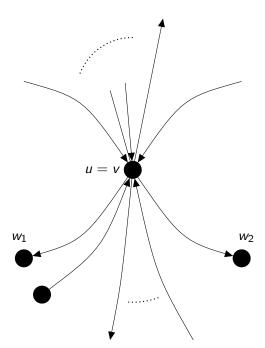
clockwise faces \longrightarrow vertices \longrightarrow anticlockwise faces \longrightarrow clockwise faces

$$(\sigma_i, \sigma_c, \sigma_a) \mapsto (\sigma_c, \sigma_a, \sigma_i)$$

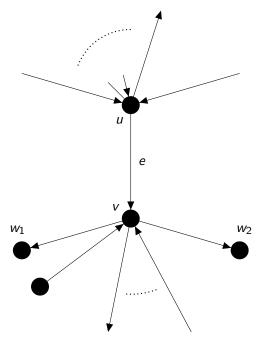




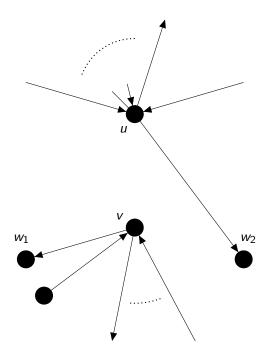
G



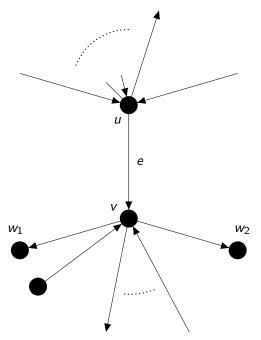
G[1]e



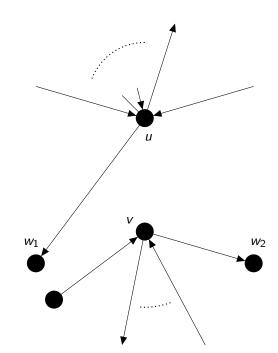
G



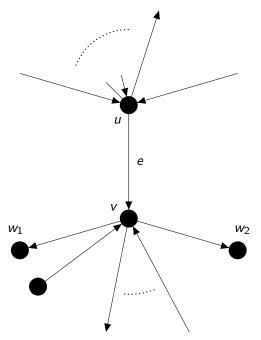
 $G[\omega]e$



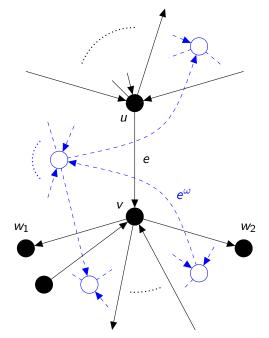
G



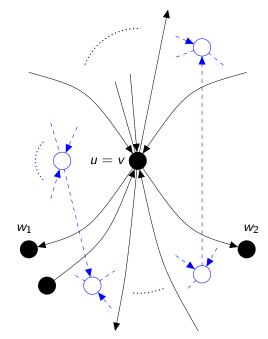
 $G[\omega^2]e$



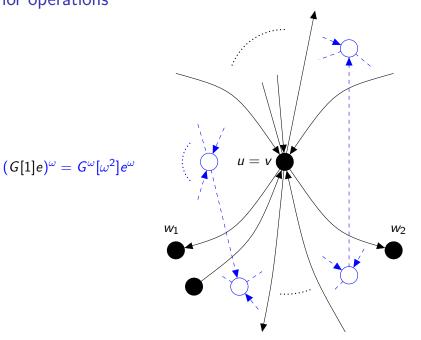
G



G



G[1]e



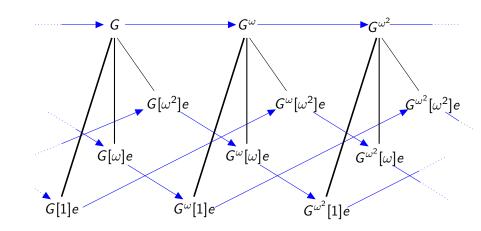
$$G^{\omega}[1]e^{\omega} = (G[\omega]e)^{\omega},$$
 $G^{\omega}[\omega]e^{\omega} = (G[\omega^2]e)^{\omega},$
 $G^{\omega}[\omega^2]e^{\omega} = (G[1]e)^{\omega},$
 $G^{\omega^2}[1]e^{\omega^2} = (G[\omega^2]e)^{\omega^2},$
 $G^{\omega^2}[\omega]e^{\omega^2} = (G[1]e)^{\omega^2},$
 $G^{\omega^2}[\omega^2]e^{\omega^2} = (G[\omega]e)^{\omega^2}.$

Theorem

If $e \in E(G)$ and $\mu, \nu \in \{1, \omega, \omega^2\}$ then

$$G^{\mu}[\nu]e^{\omega} = (G[\mu\nu]e)^{\mu}.$$

Same pattern as established for generalised minor operations on binary functions (GF, 2008/2013...).



Relationships

```
triangulated triangle
alternating dimaps
bicubic map (reduction: Tutte 1975)
          duality
Eulerian triangulation
```

Relationships

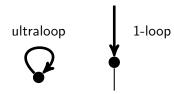
```
triangulated triangle
alternating dimaps
bicubic map (reduction: Tutte 1975)
          duality
Eulerian triangulation (reduction, in inverse form ...: Batagelj, 1989)
```

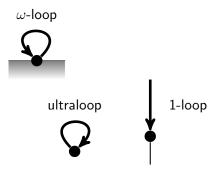
Relationships

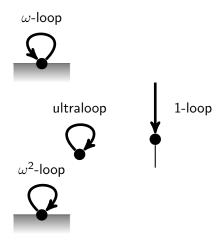
```
triangulated triangle
alternating dimaps
bicubic map (reduction: Tutte 1975)
          duality
Eulerian triangulation (reduction, in inverse form ...: Batageli, 1989)
          (Cavenagh & Lisoněck, 2008)
spherical latin bitrade
```

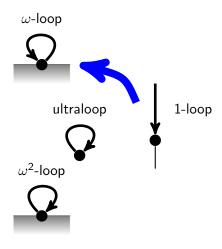
ultraloop

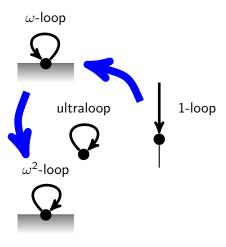


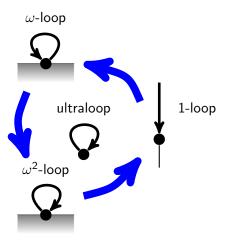


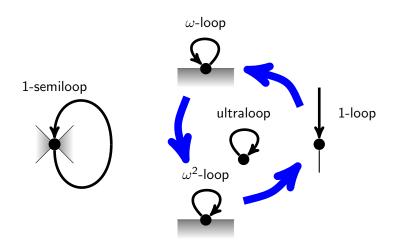


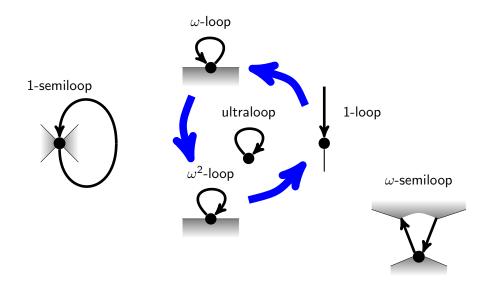








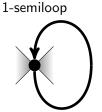


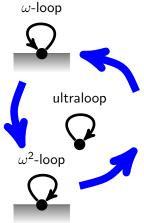


 ω^2 -semiloop











 ω -semiloop



 $\omega^2\text{-semiloop}$



 ω -loop



1-semiloop



ultraloop





1-loop

 ω -semiloop



 $\omega^2\text{-semiloop}$



 ω -loop



1-semiloop



ultraloop



 ω^2 -loop



1-loop

 ω -semiloop



Ultraloops, triloops, semiloops

1-semiloop

 $\omega^2\text{-semiloop}$



 ω -loop



ultraloop



 ω^2 -loop



1-loop

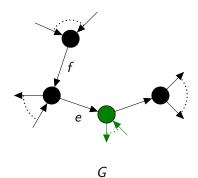
 $\omega\text{-semiloop}$

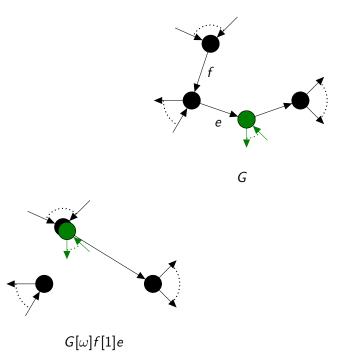


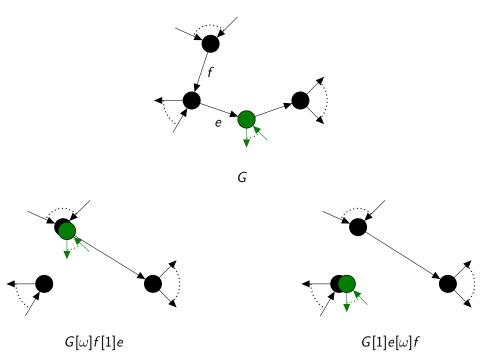
Non-commutativity

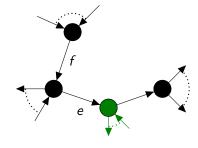
Some bad news: sometimes,

$$G[\mu]e[\nu]f \neq G[\nu]f[\mu]e$$

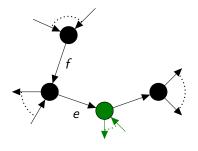








 $G[\omega]f[1]e \neq G[1]e[\omega]f$



$$G[\omega]f[1]e \neq G[1]e[\omega]f$$

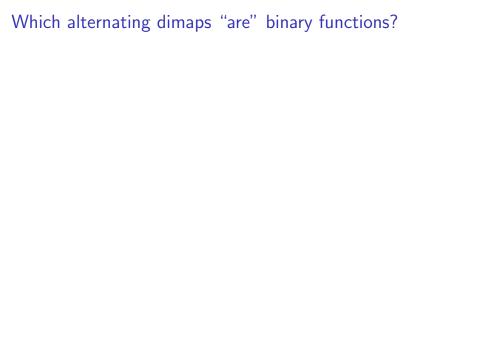
Theorem

Except for the above situation and its trials, reductions commute.

$$G[\mu]f[\nu]e = G[\nu]e[\mu]f$$

Corollary

If $\mu = \nu$, or one of e, f is a triloop, then reductions commute.



Which alternating dimaps "are" binary functions?

Not all: for alternating dimaps, reductions do not commute in general, whereas for binary functions, they do.

Which alternating dimaps "are" binary functions?

Not all: for alternating dimaps, reductions do not commute in general, whereas for binary functions, they do.

Definition

A strict binary representation of a minor-closed set $\mathcal A$ of alternating dimaps is a triple (F, ε, ν) such that

- (a) $F: A \rightarrow \{\text{binary functions}\}\$
- (b) $\varepsilon = (\varepsilon_G \mid G \in \mathcal{A})$ is a family of bijections $\varepsilon_G : E(G) \to E(F(G))$;
- (c) $\nu \in \mathbb{C}$ with $|\nu| = 1$;
- (d) $F(G^{(\omega)}) \simeq L^{[\omega]}F(G)$ for all $G \in \mathcal{A}$;
- (e) $F(G[\mu]e) \simeq F(G) \parallel_{[\nu\mu]} \varepsilon_G(e)$ for all $G \in \mathcal{A}$, $e \in E(G)$ and $\mu \in \{1, \omega, \omega^2\}$.

Which alternating dimaps are binary functions?

Definitions

```
\mathcal{C}_1 := \text{ultraloop}
i\mathcal{C}_1 = \text{disjoint union of } i \text{ ultraloops}
0\mathcal{C}_1 = \text{empty alternating dimap}
\mathcal{U}_k = \{i\mathcal{C}_1 \mid i = 0, \dots, k\}
\mathcal{U}_{\infty} = \{i\mathcal{C}_1 \mid i \in \mathbb{N} \cup \{0\}\}
```

Theorem

If $\mathcal A$ is a minor-closed class of alternating dimaps which has a strict binary representation then

- $ightharpoonup \mathcal{A} = \emptyset$, or
- \triangleright $A = U_k$ for some k, or
- $\rightarrow \mathcal{A} = \mathcal{U}_{\infty}$.

Which alternating dimaps are binary functions?

Proof. (Outline) If $A = \emptyset$: done. So suppose $A \neq \emptyset$.

Since \mathcal{A} is minor-closed, it must contain the empty alt. dimap $0C_1$. It must be represented by $f: 2^{\emptyset} \to \mathcal{C}$ with $f(\emptyset) = 1$, i.e., $\mathbf{f} = (1)$.

If $|\mathcal{A}|=1$ then we are done. This F gives a strict binary representation, and $\mathcal{A}=\mathcal{U}_0.$

If $|\mathcal{A}| \geq 2$, then it must contain the ultraloop C_1 . Its image $F(C_1)$ is given by

$$F(C_1) = \begin{pmatrix} 1 \\ \sqrt{2} - 1 \end{pmatrix}.$$

Proof: C_1 is self-trial, so $F(C_1)$ must be too. So $F(C_1)$ must be an eigenvector for eigenvalue 1 of the matrix $M(\omega)$.

If $|\mathcal{A}| = 2$ then we are done. This F gives a strict binary representation, and $\mathcal{A} = \{\text{empty}, \text{ultraloop}\} = \mathcal{U}_1$.

Which alternating dimaps are binary functions?

Suppose $|\mathcal{A}| \geq 3$. Then \mathcal{A} must have at least one alternating dimap G_2 on two edges.

For any such G_2 , all reductions give the ultraloop C_1 .

So all reductions of $F(G_2)$ give $F(C_1) = \begin{pmatrix} 1 \\ \sqrt{2} - 1 \end{pmatrix}$.

Then show that
$$F(G_2) = \begin{pmatrix} 1 \\ \sqrt{2} - 1 \end{pmatrix}^{\otimes 2}$$
.

Therefore $F(G_2)$ is self-trial, so G_2 must be too.

So $G_2=2C_1$ (the only self-trial alternating dimap on two edges).

So far, we have at most one alternating dimap in A with each possible number of edges (0, 1, 2).

Show by induction that A has at most one member with k edges, and that it is kC_1 , with

$$F(kC_1) = \begin{pmatrix} 1 \\ \sqrt{2} - 1 \end{pmatrix}^{\otimes k}.$$

This is (the guts of) the strict binary representation.

References

- W. T. Tutte, Duality and trinity, in: Infinite and Finite Sets (Colloq., Keszthely, 1973), Vol. III, Colloq. Math. Soc. Janos Bolyai, Vol. 10, North-Holland, Amsterdam, 1975, pp. 1459–1472.
- R. L. Brooks, C. A. B. Smith, A. H. Stone and W. T. Tutte, Leaky electricity and triangulated triangles, *Philips Res. Repts.* 30 (1975) 205–219.
- W. T. Tutte, Bicubic planar maps, Symposium à la Mémoire de François Jaeger (Grenoble, 1998), Ann. Inst. Fourier (Grenoble) 49 (1999) 1095–1102.

References

- ► GF, Minors for alternating dimaps, preprint, 2013, http://arxiv.org/abs/1311.2783.
- ► GF, Transforms and minors for binary functions, *Ann. Combin.* **17** (2013) 477–493.
- ► GF, Minors and Tutte invariants for alternating dimaps (talk slides), 13 Dec 2013 (37ACCMCC) and 10 March 2014, http://www.csse.monash.edu.au/~gfarr/research/slides/Farr-alt-dimap-talk-2014.pdf